File No.: 9-13528-162US

December 5, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** 

Robert R. Williams et al.

Serial No.:

Not yet assigned.

Filed:

Concurrently herewith.

Title:

METHOD AND APPARATUS FOR CONNECTING AN

IP-BASED CLIENT TO A SERVER THROUGH AN

**OSI NETWORK** 

Agent of Record:

Max R. Wood

Tel: (613) 230-6072

Assistant Commissioner for Patents Washington, D.C. 20231 U.S.A.

Sir:

## INFORMATION DISCLOSURE STATEMENT PRIOR TO FIRST OFFICE ACTION

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted, ROBERT R. WILLIAMS et al.

Bv:

Max R. Wood

Agent of Record, Registration No. 40,388

**OGILVY RENAULT** 

1600 - 1981 McGill College Avenue Montreal, Quebec, Canada H3A 2Y3

Encls.



SERIAL NO.

GROUP

Not yet assigned.

Examiner		Document Number	Date	Name	Class	Subclass	Filing	
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ATTY.DOCKET NO.

Robert R. Williams et al.

Concurrently herewith.

9-13528-162US APPLICANT

FILING DATE:

U.S. Department of Commerce Patent & Trademark Office

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

Form PTO-1449 (Rev.7-80)